

# A Quantum Theory of Speciation

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## Abstract

We propose a theoretical model of quantum speciation among elements of a finite dimensional Hilbert space. The potential for species diversity and the current environment are represented by linear operators satisfying a compatibility criterion. A method for calculating probabilities of production of individuals is defined.

## 1 Introduction

Let  $H$  be a Hilbert space  $H$  with inner product  $\langle \cdot, \cdot \rangle$  and finite dimension  $n$ .

We say that the ordered pair  $(A, B)$  is compatible if  $A$  and  $B$  are linear operators on  $H$ ,  $B$  is Hermitian and the composition  $AB$  has all real eigenvalues and a unique largest eigenvalue. By  $C_H$  we mean the collection of compatible ordered pairs.

Fix  $(E, S) \in C_H$ . Let the environment be represented by  $E$ , and the species by  $S$ . The interaction of the species with the environment is represented by the linear operator  $R \equiv ES$ . Let the unit eigenvectors of  $S$  be denoted by  $V_S = \{s_1, s_2, s_3, \dots, s_n\}$ . This set represents the “individuals” genetically possible for the species represented by  $S$ . Note that  $V_S$  forms an

orthonormal basis for  $H$ . Let the unit eigenvectors of  $R$  be denoted by  $V_R$ , and let  $r \in V_R$  be the eigenvector with largest eigenvalue. The probability of production of the individual  $s_i$  is defined to be  $|\langle r, s_i \rangle|^2$  for each  $i \in \{1, 2, 3, \dots, n\}$ .

## 2 Example

Take the Hilbert space to be  $\mathbb{R}^8$  with the usual topology and inner product  $\langle \cdot, \cdot \rangle$ . Let the environment be represented by the matrix

$$E = \begin{pmatrix} 0.58 & 0.38 & -0.49 & 0.45 & -0.87 & 0.53 & 0.58 & 0.61 \\ 0.38 & 0.25 & -0.32 & 0.29 & -0.57 & 0.34 & 0.38 & 0.40 \\ -0.49 & -0.32 & 3.01 & -0.38 & -1.39 & -0.45 & -0.49 & -0.52 \\ 0.45 & 0.29 & -0.38 & 0.35 & -0.68 & 0.41 & 0.45 & 0.48 \\ -0.87 & -0.57 & -1.39 & -0.68 & 3.07 & -0.79 & -0.87 & -0.92 \\ 0.53 & 0.34 & -0.45 & 0.41 & -0.79 & 0.48 & 0.52 & 0.56 \\ 0.58 & 0.38 & -0.49 & 0.45 & -0.87 & 0.52 & 0.57 & 0.61 \\ 0.61 & 0.40 & -0.52 & 0.48 & -0.92 & 0.56 & 0.61 & 0.65 \end{pmatrix} \quad (2.1)$$

and let the species be represented by the matrix

$$S = \begin{pmatrix} 4.62 & -0.33 & -0.95 & -0.41 & -0.37 & -0.21 & -1.33 & 0.06 \\ -0.33 & 7.96 & -0.10 & -0.04 & -0.04 & -0.02 & -0.13 & 0.00 \\ -0.95 & -0.10 & 6.10 & 0.43 & 0.78 & -0.28 & -0.72 & 0.04 \\ -0.41 & -0.04 & 0.43 & 5.32 & 0.12 & -0.11 & -0.91 & -0.16 \\ -0.37 & -0.04 & 0.78 & 0.12 & 2.96 & 0.24 & 0.61 & 0.08 \\ -0.21 & -0.02 & -0.28 & -0.11 & 0.24 & 3.62 & -0.01 & 0.93 \\ -1.33 & -0.13 & -0.72 & -0.91 & 0.61 & -0.01 & 4.03 & -0.12 \\ 0.06 & 0.00 & 0.04 & -0.16 & 0.08 & 0.93 & -0.12 & 1.35 \end{pmatrix} \quad (2.2)$$

The interaction of the species with the environment is given by the matrix

$$R = ES = \begin{pmatrix} 2.31 & 2.81 & -4.60 & 1.14 & -2.60 & 2.23 & 0.85 & 1.12 \\ 1.52 & 1.85 & -3.01 & 0.72 & -1.71 & 1.44 & 0.56 & 0.73 \\ -3.63 & -2.54 & 18.07 & -0.10 & -2.07 & -3.13 & -3.88 & -1.02 \\ 1.80 & 2.14 & -3.57 & 0.89 & -2.03 & 1.73 & 0.66 & 0.88 \\ -2.10 & -4.08 & -4.68 & -2.44 & 7.47 & -2.31 & 1.34 & -1.63 \\ 2.13 & 2.51 & -4.21 & 1.05 & -2.37 & 2.03 & 0.74 & 1.03 \\ 2.33 & 2.81 & -4.59 & 1.15 & -2.61 & 2.19 & 0.81 & 1.11 \\ 2.43 & 2.96 & -4.87 & 1.23 & -2.75 & 2.36 & 0.89 & 1.19 \end{pmatrix} \quad (2.3)$$

The eigenvectors of  $R$  are given by

$$V_R = \left\{ \begin{array}{l} (-0.26, -0.17, 0.81, -0.20, -0.09, -0.24, -0.26, -0.27), \\ (-0.25, -0.16, -0.28, -0.19, 0.78, -0.23, -0.25, -0.26), \\ (0.09, 0.60, 0.04, -0.39, -0.04, -0.54, 0.27, -0.34), \\ (-0.25, -0.08, 0.17, -0.18, -0.08, 0.45, 0.81, -0.07), \\ (-0.34, 0.30, -0.01, 0.38, 0.20, 0.40, -0.17, -0.65), \\ (0.59, 0.18, 0.31, -0.07, 0.58, 0.38, 0.09, 0.18), \\ (0.08, -0.05, -0.02, -0.23, -0.13, 0.40, -0.16, -0.86), \\ (-0.43, 0.12, -0.13, -0.39, -0.01, 0.09, -0.49, 0.62) \end{array} \right\} \quad (2.4)$$

The eigenvalues of the matrix  $R$  are given by

$$\{22.53, 12.08, 0.06, -0.05, -0.03, 0.02, 0.01, 0.00\}.$$









The eigenvector of  $R$  with largest eigenvalue is

$$(-0.26, -0.17, 0.81, -0.20, -0.09, -0.24, -0.26, -0.27).$$

The eigenvectors of the matrix  $S$  are given by

$$V_S = \left\{ \begin{array}{l} (0.10, -1.00, 0.01, 0.00, 0.00, 0.00, 0.00, 0.00), \\ (0.35, 0.03, -0.83, -0.38, -0.18, 0.05, 0.12, 0.01), \\ (-0.56, -0.05, 0.04, -0.48, 0.20, 0.09, 0.64, 0.01), \\ (-0.40, -0.04, -0.48, 0.74, 0.01, 0.08, 0.22, -0.03), \\ (0.02, 0.00, 0.02, -0.01, 0.14, 0.92, -0.17, 0.34), \\ (-0.49, -0.05, 0.00, -0.17, -0.78, 0.06, -0.33, 0.02), \\ (0.39, 0.04, 0.29, 0.21, -0.55, 0.17, 0.62, 0.06), \\ (-0.03, 0.00, -0.03, 0.03, 0.00, -0.34, 0.02, 0.94), \end{array} \right\} \quad (2.5)$$

The probabilities of production are as shown in the following table:

	Individual	Probability
	(-0.26, -0.17, 0.81, -0.20, -0.09, -0.24, -0.26, -0.27)	0.02
	(-0.25, -0.16, -0.28, -0.19, 0.78, -0.23, -0.25, -0.26)	0.52
	(0.09, 0.60, 0.04, -0.39, -0.04, -0.54, 0.27, -0.34)	0.01
	(-0.25, -0.08, 0.17, -0.18, -0.08, 0.45, 0.81, -0.07)	0.24
	(-0.34, 0.30, -0.01, 0.38, 0.20, 0.40, -0.17, -0.65)	0.07
	(0.59, 0.18, 0.31, -0.07, 0.58, 0.38, 0.09, 0.18)	0.09
	(0.08, -0.05, -0.02, -0.23, -0.13, 0.40, -0.16, -0.86)	0.01
	(-0.43, 0.12, -0.13, -0.39, -0.01, 0.09, -0.49, 0.62)	0.04

### 3 Motivation

In quantum mechanics, observables are represented by self-adjoint operators on a Hilbert space. Thus in proposing a model of quantum speciation, it is natural to regard a species as a whole as some self-adjoint operator  $S$ . In the quantum mechanical setting, each possible measurement of an observable corresponds to a unit eigenvector and eigenvalue of this operator, so by analogy we regard each unit eigenvector of the species linear operator  $S$  to represent a possible individual. We postulate that each species will have only finitely many possible individuals, thus we assume that  $S$ , and also the Hilbert space, have finite dimension. Thus  $S$  is in fact Hermitian. We may then regard the eigenvalues of each unit eigenvector (i.e. individual) of  $S$  as representing the reproductive strength of that individual. We model the influence of the environment by means of a linear operator  $E$  which is composed with  $S$  to produce the resultant operator  $R$ . We require that  $(E, S)$  be compatible, in the sense defined above, so that  $R$  will have all real eigenvalues and a unique largest eigenvalue.

The definition of probability of production was motivated by the following observation. If  $\vec{\phi}$  is a random vector in  $\mathbb{R}^n$ , how may we determine the unit vector  $\hat{v} \in \mathbb{R}^n$  which maximizes the expectation value  $E \left( \vec{\phi} \cdot \hat{v} \right)^2$ ? It is not difficult to show that this is accomplished by taking  $\hat{v}$  to be the eigenvector

$\hat{v}_{\max}$  with maximal eigenvalue of the matrix

$$\begin{pmatrix} E\phi_1\phi_1 & E\phi_1\phi_2 & \cdots & E\phi_1\phi_n \\ E\phi_2\phi_1 & E\phi_2\phi_2 & \cdots & E\phi_2\phi_n \\ \vdots & \vdots & \ddots & \vdots \\ E\phi_n\phi_1 & E\phi_n\phi_2 & \cdots & E\phi_n\phi_n \end{pmatrix}.$$

The maximal value of  $E\left(\vec{\phi} \cdot \hat{v}\right)^2$  is then equal to this eigenvalue. We may express  $\hat{v}_{\max}$  as a unique linear combination of the eigenvectors (individuals) of  $S$ , like so:  $\hat{v}_{\max} = \sum_{i=1}^N (\hat{v}_{\max} \cdot \hat{s}_i) \hat{s}_i$ . Again following the pattern seen in the quantum mechanical setting, we define the probability of “observing,” i.e. producing the individual represented by  $\hat{s}_i$  as  $|\langle \hat{v}_{\max}, \hat{s}_i \rangle|^2 = (\hat{v}_{\max} \cdot \hat{s}_i)^2$ . Although the motivation involves Hermitian operators  $R$ , this is not assumed in the definition of compatible operators.